# UBS Select ESG Prime Money Market Funds

UBS Asset Management | Fund overview

**Investment objective**
Maximum current income as is consistent with liquidity and preservation of capital while incorporating select environmental, social, and governance criteria ("ESG") into the investment process.

**ESG integration – a proprietary approach**
At UBS-AM, we define Sustainable Investing (SI) as a set of investment strategies that incorporate material environmental, social and governance (ESG) considerations into investment decisions. We believe our active approach to money market portfolio management greatly benefits from the integration of material ESG factors with our credit research as it provides a more holistic and comprehensive view on credit risk. Our approach:
- Integrates material ESG factors into fundamental credit research
- Goes beyond the simple use of third party ESG scores – we use our proprietary ESG scoring system
- Incorporates material sustainability issues
- Provides a forward looking view (positive, stable, negative)

**Credit research process**
Embedded in our credit research process is the integration of issuer-level sustainable investing analysis as guided by UBS-AM's approach to ESG research and evaluation methodology.
- The sustainable investing analysis provides a more comprehensive approach to issuer selection than credit analysis alone as internal and external ESG ratings are applied to evaluate the quality of sustainable practices employed by issuers.
- Credit analysts rate and maintain internal fundamental credit and ESG ratings, which form the basis for portfolio construction with the focus on issuers that contribute to the Fund's ESG profile.
- UBS-AM's portfolio construction process aims to align investments in money market instruments with the concept of sustainability – the potential for long-term maintenance of environmental, economic and social well-being.

**UBS Select ESG Prime Money Market Funds**
- Three prime money market funds available: Preferred, Institutional and Investor
- Each fund invests in securities through an underlying master fund.

| Fund | Ticker | Minimum Initial Investment | Fund Classification |
|---|---|---|---|
| UBS Select ESG Prime Preferred Fund | SSPXX | $99 million | FNAV |
| UBS Select ESG Prime Institutional Fund | SGIXX | $1 million | FNAV |
| UBS Select ESG Prime Investor Fund | SEIXX | $1,000 | FNAV |

## Why consider UBS Asset Management for ESG money market funds?

**Assets under management**
**USD 102bn** AUM in global money market funds

**Integrated teamwork**
Dedicated credit research team closely collaborating with SI research team

**Long-standing track record**
Managing money market funds for over 30 years and sustainable portfolios for over 20 years

**Deep expertise**
Dedicated portfolio management team with 23 years average of industry experience

## Portfolio management

**Robert Sabatino**
Global Head of Liquidity Portfolio Management
25 years investment experience

**David J. Walczak, CFA, FRM**
Head of US Money Markets Portfolio Management
15 years investment experience

## SI Research

**Christopher Greenwald, PhD, MBA**
Head of Sustainable and Impact Investing Research and Stewardship
13 years investment experience

UBS Asset Management, at the global level, seeks to be a leader in incorporating sustainability into its management process and honors various commitments in the sustainability investing industry. Active commitments include:
- Participant in the UN Global Compact since its inception in 2000
- Independent assurance of the GRI (Global Reporting Initiative) based sustainability disclosure
- Founding signatory to Carbon Disclosure Project (CDP) since 2002
- Member of the International Corporate Governance Network (ICGN) since 2012
- UBS Asset Management signatory to Principles for Responsible Investment (PRI)
- Global Initiative for Sustainability Ratings steering committee
- Sustainability Accounting Standards Board™

"Institutional" funds are available to investors (such as corporations, partnerships, endowments, foundations, government entities and individuals) meeting certain criteria as noted in fund offering documents (e.g. minimum initial investment of $99 million for UBS Select Prime Preferred Funds).

Mutual funds are sold by prospectus, which includes more complete information on risks, charges, expenses and other matters of interest. Investors should read the prospectus carefully before investing. You may obtain a prospectus by selecting the "Documents – All Funds" tab on the navigation bar above and clicking the "PDF" link under the column "Prospectus" in the row for that particular fund.

**For UBS Select Prime Funds**
You could lose money by investing in the Fund. Because the share price of the Fund will fluctuate, when you sell your shares they may be worth more or less than what you originally paid for them. The Fund may impose a fee upon sale of your shares or may temporarily suspend your ability to sell shares if the Fund's liquidity falls below required minimums because of market conditions or other factors. An investment in the Fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The Fund's sponsor has no legal obligation to provide financial support to the Fund, and you should not expect that the sponsor will provide financial support to the Fund at any time.

involve the risk of mispricing or improper valuation, the risk that changes in the value of a derivative may not correlate perfectly with the underlying asset, rate, index, or overall securities markets, and counterparty and credit risk (the risk that the other party to a swap agreement or other derivative will not fulfill its contractual obligations, whether because of bankruptcy or other default).

Gains or losses involving some options, futures, and other derivatives may be substantial (for example, for some derivatives, it is possible for a portfolio to lose more than the amount the portfolio invested in the derivatives). Some derivatives tend to be more volatile than other investments, resulting in larger gains or losses in response to market changes. Derivatives are subject to a number of other risks, including liquidity risk (the possible lack of a secondary market for derivatives and the resulting inability of the portfolio to sell or otherwise close out the derivatives) and interest rate risk (some derivatives are more sensitive to interest rate changes and market price fluctuations). Finally, a portfolio's use of derivatives may cause the portfolio to realize higher amounts of short-term capital gains (generally taxed at ordinary income tax rates) than if the portfolio had not used such instruments.

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